

PTO/SB/80 (01-06)

Approved for use through 12/31/2008. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).

I hereby appoint:



Practitioners associated with the Customer Number:

57380

OR



Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number	Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to:



The address associated with Customer Number:

57378

OR

<input type="checkbox"/> Firm or Individual Name			
Address			
City	State	Zip	
Country			
Telephone	Email		

Assignee Name and Address:

MERRILL LYNCH & CO., INC.
222 BROADWAY
NEW YORK, NEW YORK 10038

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature	<i>R. Bahrampour</i>	Date	7/31/2007
Name	Robert Bahrampour	Telephone	212-670-0421
Title	Assistant Secretary / Chief Intellectual Property Counsel		

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-0199 and select option 2.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Finkelstein, et al.Application No./Patent No.: 10711810 Filed/Issue Date: 10/06/2004Entitled: Methods and systems for offering and servicing financial instrumentsMERRILL LYNCH & CO., INC., a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☒ Additional documents in the chain of title are listed on a supplemental sheet.

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (*i.e.*, a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/s/
Signature

Carl Oppedahl
Printed or Typed Name

Attorney
Title

08/01/2007
Date

970-468-8600
Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

10/711,810	Method and system for supplementing directors' and officers' insurance	08-01-2007::14:18:32
------------	--	----------------------

Patent Assignment Abstract of Title

Total Assignments: 3

Application #: 10711810	Filing Dt: 10/06/2004	Patent #: NONE	Issue Dt:
PCT #: NONE		Publication #: US20050197934	Pub Dt: 09/08/2005
Inventors: Barry Finkelstein, Brian Barrett, Steve Padovano, Linda Lowry, Marlene Debel, Eric Steifman, Thomas Visone, John Fromholtz, Russell L. Stein			
Title: Method and system for supplementing directors' and officers' insurance			

Assignment: 1

Reel/Frame: 015292 / 0663	Received: 10/27/2004	Recorded: 10/27/2004	Mailed: 10/28/2004	Pages: 2
Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).				
Assignor: BARRETT, BRIAN			Exec Dt: 10/19/2004	
Assignee: MERRILL LYNCH & CO. INC. 222 BROADWAY NEW YORK, NEW YORK 10038				
Correspondent: OPPEDAHL & LARSON LLP - MLCO P006 P O BOX 5068 DILLON, CO 80435-5068				

Assignment: 2

Reel/Frame: 015539 / 0463	Received: 01/07/2005	Recorded: 01/07/2005	Mailed: 01/10/2005	Pages: 9
Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).				
Assignors: PADOVANO, STEVE			Exec Dt: 10/29/2004	
LOWRY, LINDA			Exec Dt: 10/14/2004	
DEBEL, MARLENE			Exec Dt: 10/15/2004	
STEIFMAN, ERIC			Exec Dt: 10/21/2004	
VISONE, THOMAS			Exec Dt: 10/12/2004	
FROMHOLTZ, JOHN			Exec Dt: 10/18/2004	
STEIN, RUSS			Exec Dt: 10/27/2004	
Assignee: MERRILL LYNCH & CO. INC. 222 BROADWAY NEW YORK, NEW YORK 10038				
Correspondent: OPPEDAHL & LARSON LLP PO BOX 5068 DILLON, CO 80435				

Assignment: 3

Reel/Frame: 015710 / 0060	Received: 02/28/2005	Recorded: 02/28/2005	Mailed: 02/28/2005	Pages: 2
Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).				
Assignor: FINKELSTEIN, BARRY			Exec Dt: 02/25/2005	
Assignee: MERRILL LYNCH & CO., INC. 222 BROADWAY NEW YORK, NEW YORK 10038				
Correspondent: CARL OPPEDAHL PO BOX 5068				

DILLON, CO 80435-5068

Search Results as of: 08/01/2007 13:57:33 PM

Disclaimer:

Assignment information on the assignment database reflects assignment documents that have been actually recorded.

If the assignment for a patent was not recorded, the name of the assignee on the patent application publication or patent may be different.

If you have any comments or questions concerning the data displayed, contact OPR / Assignments at 571-272-3350

Close Window